

PUBLIC SUBMISSION

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Docket: EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

Document: EBSA-2010-0050-DRAFT-5965

Comment on FR Doc # 2015-08831

Submitter Information

Name: Anonymous Anonymous

General Comment

As a private individual, I manage our Family's Investment accounts. Roth IRAs and regular accounts. Within that management, as a tool to reduce risk, I use option trading as part of the mixture of tools to manage the funds in those accounts. I understand that you plan on not allowing options trading within IRA type accounts. I respectfully request to continue to permit Brokerage Accounts to provide the transaction role they give, permitting us to manage our monies to our best advantage. I have various tools at my disposal which I use for technical and business analysis. I evaluate the conditions that are current and possibly future. I listen to commentaries on the Television and on various publications, to help me evaluate a condition and come to a decision. I have been doing this for about 25 years. In the last 5 years I do it more frequently as we are now retired and the funds need to be watched over. Please do not hinder us from being able to manage our monies. We do not assign fiduciary duties to any group or service. We subscribe to our own decisions. If you do not allow Brokerage Firms to handle our accounts and provide us with the access to Option trading we believe that you will be exposing us to more risk. We use options to reduce our risk exposure.